

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/854,623	05/15/2001	Stephen K. Vernon	15-0259

020457
ANTONELLI TERRY STOUT AND KRAUS
SUITE 1800
1300 NORTH SEVENTEENTH STREET
ARLINGTON, VA 22209



CONFIRMATION NO. 8013

FORMALITIES LETTER



OC00000006277192

Date Mailed: 07/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

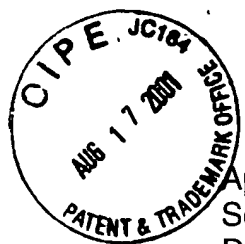
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/21/2001 MBELETE1 00000050 201515 09854623

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Stephen K. Vernon : **TRW Docket: 15-0259**
Serial No: 09/854,623 :
Date: May 15, 2001 : Examiner: Unassigned
For: DATA RATE ADJUSTER USING :
TRANSPORT LATENCY :
_____ :

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Attention: BOX MISSING PARTS

Dear Sir:

This is being filed in response to the Notice to File Missing Parts of Application mailed July 10, 2001. Enclosed is the original Declaration, and a copy of the Notice to File Missing Parts of Application.

The Commissioner is hereby authorized to charge Deposit Account 20-1515 for the surcharge fee of \$130.00 and any other fees which may be required under 37 CFR 1.16. A duplicate of this sheet is enclosed.

Respectfully submitted,

Dated: 8/9/01

Noel F. Heal
Attorney of Record
Registration No. 26,074

TRW Inc. – Law Department
One Space Park, Bldg. E2/6051
Redondo Beach, CA 90278
Tel.: (310) 812-4910
Fax: (310) 812-2687

Please type a plus sign (+) inside this box ☒

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

09/854,623

Filing Date

May 15, 2001

First Named Inventor

Stephen K. Vernon

Group Art Unit

N/A

Examiner Name

Unassigned

Total Number of Pages in This Submission

8

Attorney Docket Number

15-0259

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☒ Response to Missing Parts/
Incomplete Application☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53☐ Assignment Papers
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition Routing Slip (PTO/SB/69)
and Accompanying Petition☐ Petition to Convert to a
Provisional Application☐ Power of Attorney, Revocation
Change of Correspondence
Address☐ Terminal Disclaimer☐ Small Entity Statement☐ Request for Refund☐ After Allowance Communication
to Group☐ Appeal Communication to Board
of Appeals and Interferences☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Additional Enclosure(s)
(please identify below):Copy of Notice to File
Missing Parts
Declaration

Remarks

Response to Notice to File Missing Parts of Application in
Duplicate
Fee Transmittal Form in Duplicate

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Noel F. Heal REG-NO. 26,074

Signature

Noel F. Heal

Date

8/9/01

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 8/9/01

Typed or printed name

Lorna L. Schott

Signature

Lorna L. Schott

Date

8/9/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (12/99)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$130.00)

Complete if Known

Application Number	09/854,623
Filing Date	May 15, 2001
First Named Inventor	Stephen K. Vernon
Examiner Name	Unassigned
Group / Art Unit	N/A
Attorney Docket No.	15-0259

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 20-1515

Deposit Account Name TRW Inc.

- ☒ Charge Any Additional Fee Required
Under 37 CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
101	201	345	Utility filing fee	
106	206	155	Design filing fee	
107	207	240	Plant filing fee	
108	208	345	Reissue filing fee	
114	214	75	Provisional filing fee	

SUBTOTAL (1) (\$0.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20**	=	X	= 0
Independent Claims	=	X	= 0
Multiple Dependent	=		= 0

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description
103	203	9	Claims in excess of 20
102	202	39	Independent claims in excess of 3
104	204	130	Multiple dependent claim, if not paid
109	209	39	** Reissue independent claims over original patent
110	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$0.00)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	205	65	Surcharge - late filing fee or oath	130.00
127	227	25	Surcharge - late provisional filing fee or cover sheet.	0.00
139	239	130	Non-English specification	0.00
147	247	2,520	For filing a request for reexamination	0.00
112	212	920*	Requesting publication of SIR prior to Examiner action	0.00
113	213	1,840*	Requesting publication of SIR after Examiner action	0.00
115	215	55	Extension for reply within first month	0.00
116	216	190	Extension for reply within second month	0.00
117	217	435	Extension for reply within third month	0.00
118	218	680	Extension for reply within fourth month	0.00
128	228	925	Extension for reply within fifth month	0.00
119	219	150	Notice of Appeal	0.00
120	220	150	Filing a brief in support of an appeal	0.00
121	221	130	Request for oral hearing	0.00
138	238	1,510	Petition to institute a public use proceeding	0.00
140	240	55	Petition to revive - unavoidable	0.00
141	241	605	Petition to revive - unintentional	0.00
142	242	605	Utility issue fee (or reissue)	0.00
143	243	215	Design issue fee	0.00
144	244	290	Plant issue fee	0.00
122	222	130	Petitions to the Commissioner	0.00
123	223	50	Petitions related to provisional applications	0.00
126	226	240	Submission of Information Disclosure Stmt	0.00
581	281	40	Recording each patent assignment per property (times number of properties)	0.00
146	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00
149	249	345	For each additional invention to be examined (37 CFR § 1.129(b))	0.00
Other fee (specify)				0.00
Other fee (specify)				0.00

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$130.00)

SUBMITTED BY

Name (Print/Type) Noel F. Heal

Registration No. (Attorney/Agent) 26,074

Complete (if applicable)

Telephone 310-812-4910

Signature

Noel F. Heal

Date

8/9/01

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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